



Analyzing and Improving Fault Tolerance of Learning-Based Navigation Systems

Zishen Wan¹, Aqeel Anwar¹, Yu-Shun Hsiao², Tianyu Jia²

Vijay Janapa Reddi², Arijit Raychowdhury¹

¹Georgia Institute of Technology, Atlanta, GA, ²Harvard University, Cambridge, MA

✉ zishenwan@gatech.edu

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Safety of Autonomous Navigation



- End-to-end learning-based autonomous navigation system
- Specialized hardware accelerator
- Hardware Fault
 - Transient fault
 - Permanent fault
- Traditional protection method
 - Hardware module redundancy



Safety of Autonomous Navigation

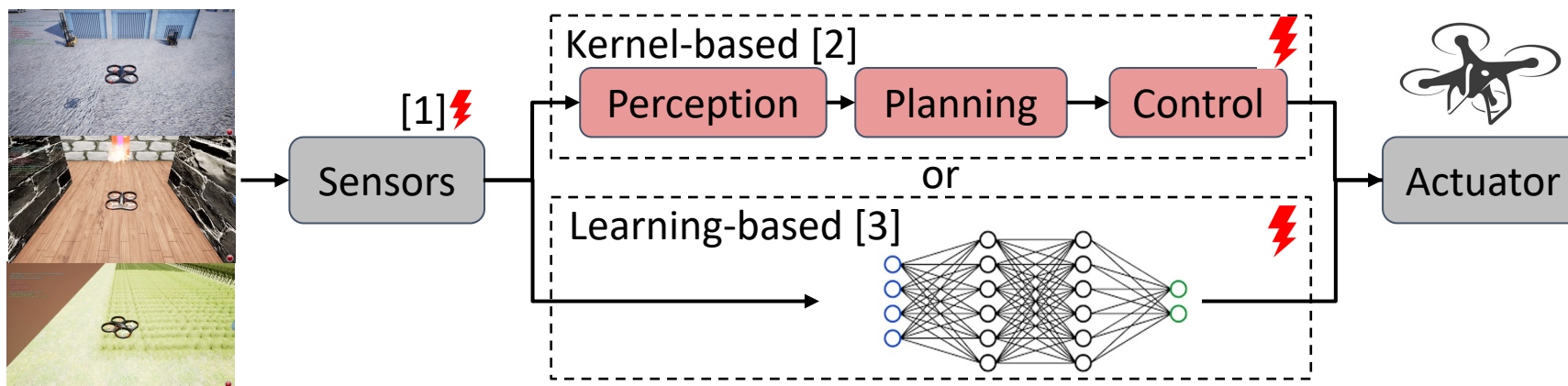
How is resilience of learning-based navigation system to hardware faults?
How do we detect and mitigate hardware faults?



- Transient fault
- Permanent fault
- Traditional protection method
- Hardware module redundancy



Related Work



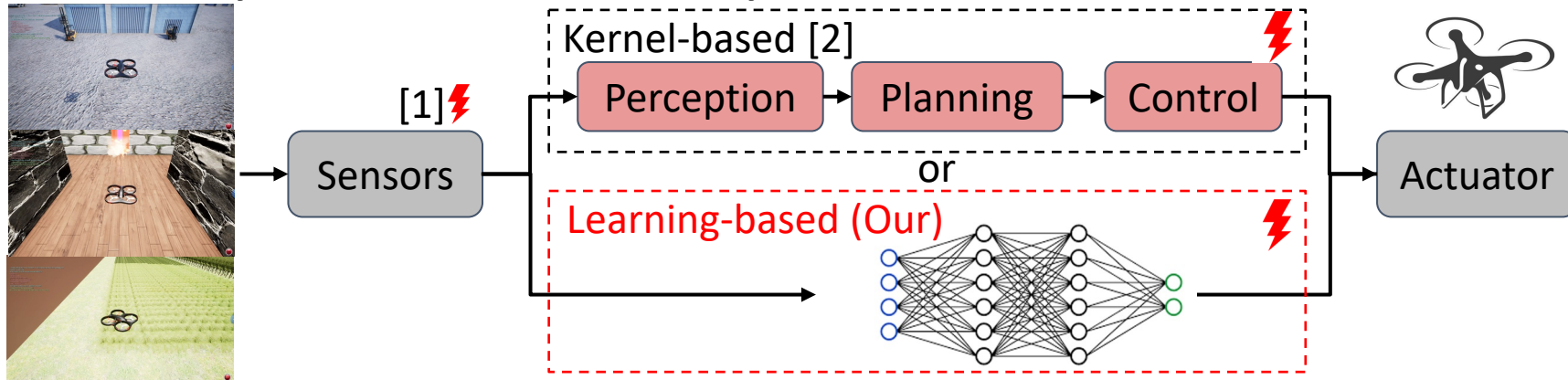
[1] A. Toschi et al., NPC'19

[2] Y. Hsiao*, Z. Wan* et al., arXiv'21



Related Work

- Reliability of autonomous systems

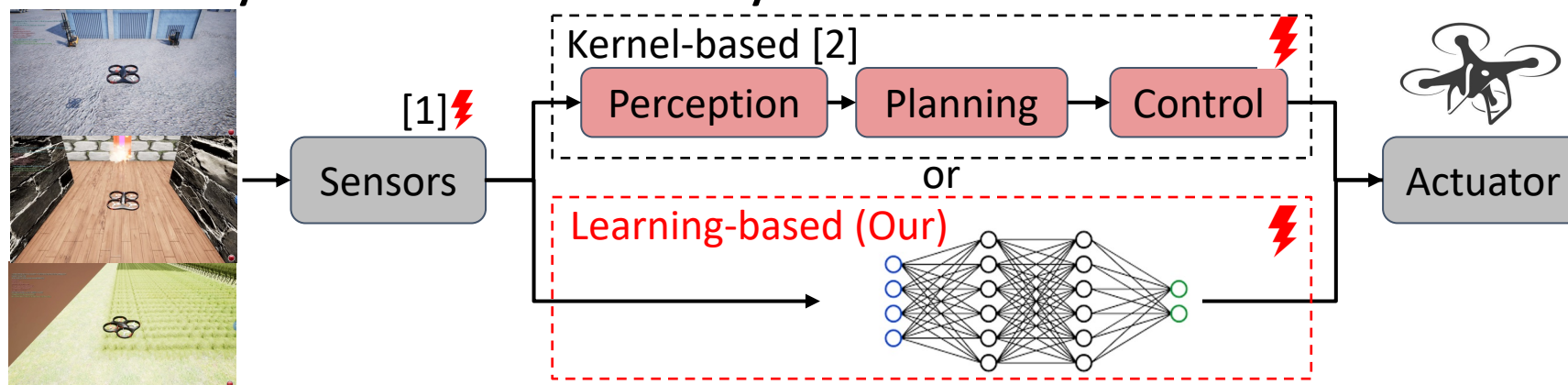


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Related Work

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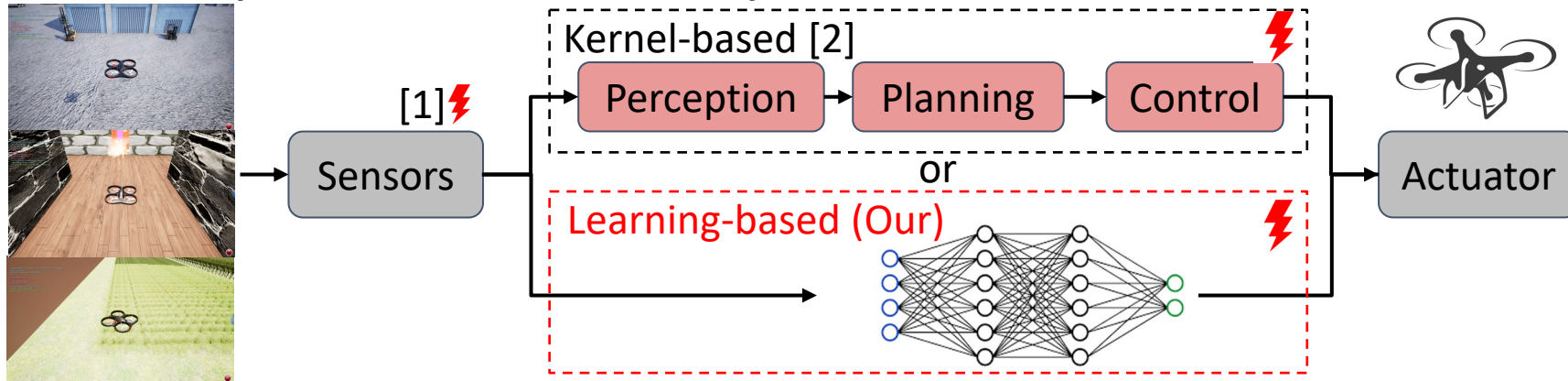
- Fault characterization

- Neural network in supervised learning: PytorchFI[3], Ares[4], SC'17[5]
- **End-to-end reinforcement learning-based (Our)**

[1] A. Toschi et al., NPC'19
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 [3] A. Mahmoudetal et al., DSN'20
 [4] B. Reagen et al., DAC'18
 [5] G. Li et al., SC'17

Related Work

- Reliability of autonomous systems



- Fault characterization

- Neural network in supervised learning: PytorchFI[3], Ares[4], SC'17[5]
- End-to-end reinforcement learning-based (Our)

- Fault mitigation

- Hardware redundancy-based method: DMR, TMR
- Application-aware method (Our)

[1] A. Toschi et al., NPC'19

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This work

Analyzing and Improving fault tolerance of learning-based navigation systems, that is:



A fault injection tool-chain for learning-based systems



Hardware fault study in learning-based systems



Fault mitigation techniques for learning-based systems



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Fault mitigation techniques for learning-based systems



Fault Model and Fault Injection

- Fault Type
 - Transient fault
 - Random bit-flip
 - Permanent fault
 - Stuck-at-0
 - Stuck-at-1



Fault Model and Fault Injection

- Fault Type
 - Transient fault
 - Random bit-flip
 - Permanent fault
 - Stuck-at-0
 - Stuck-at-1
- Fault Location
 - Memory [1,2,3]

[1] B. Reagen et al., DAC'18

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[3] P. N. Whatmough et al., ISSCC'17



Fault Model and Fault Injection

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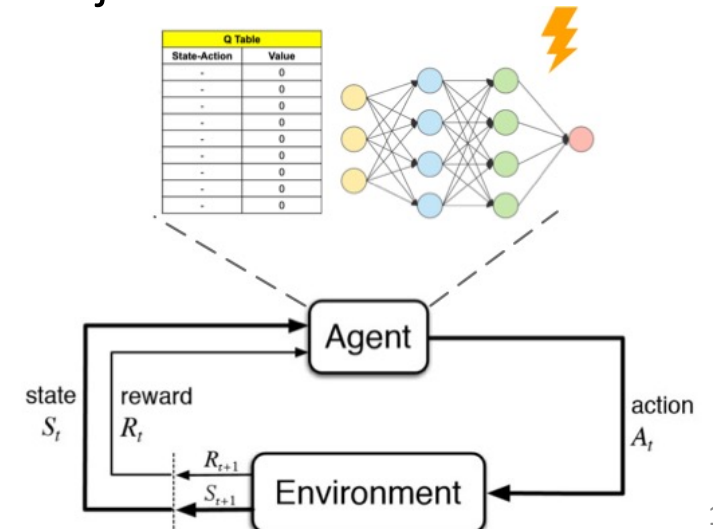
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- Fault Injection

- Methodology

- Static injection
- Dynamic injection





Fault Model and Fault Injection

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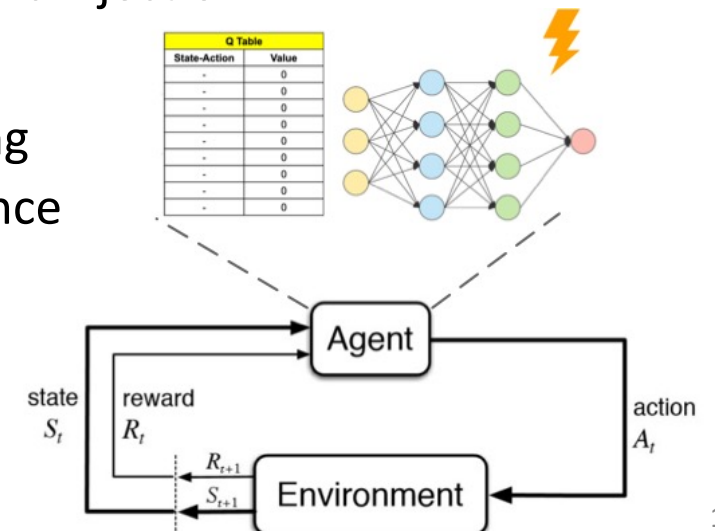
- Fault Injection

- Methodology

- Static injection
- Dynamic injection

- Phases

- Training
- Inference





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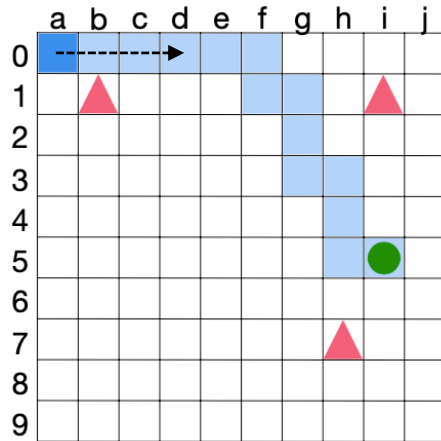
Hardware fault study in learning-based systems



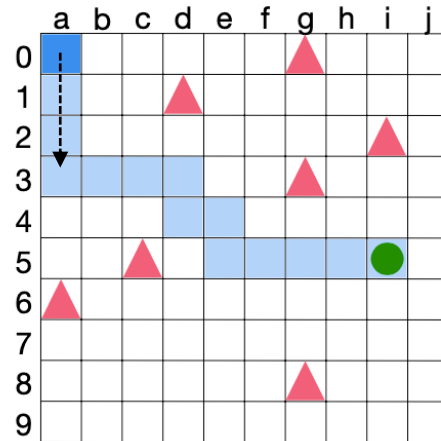
Fault mitigation techniques for learning-based systems



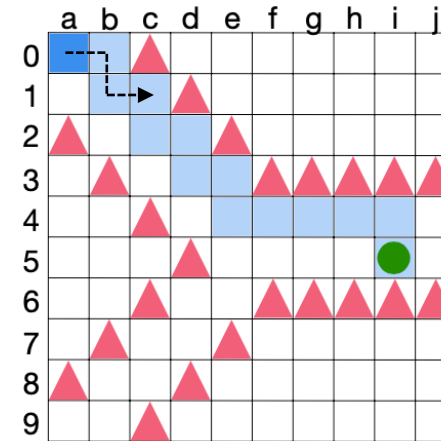
Grid-Based Navigation Problem



Low obstacle density



Middle obstacle density

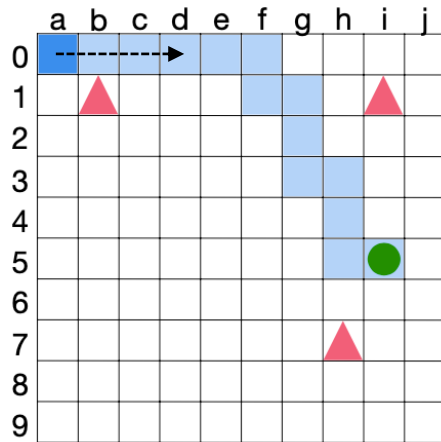


High obstacle density

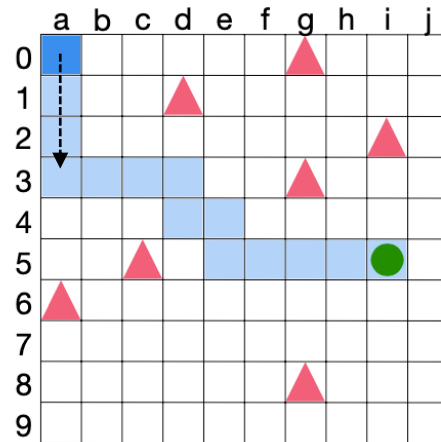
- agent
- obstacle
- goal



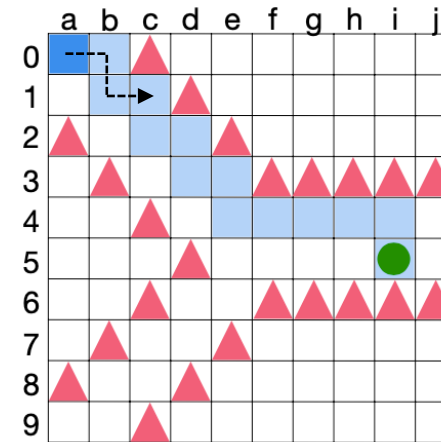
Grid-Based Navigation Problem



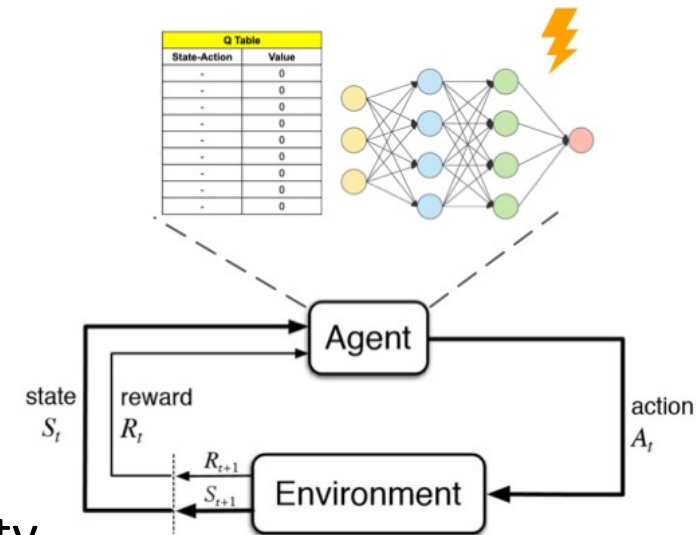
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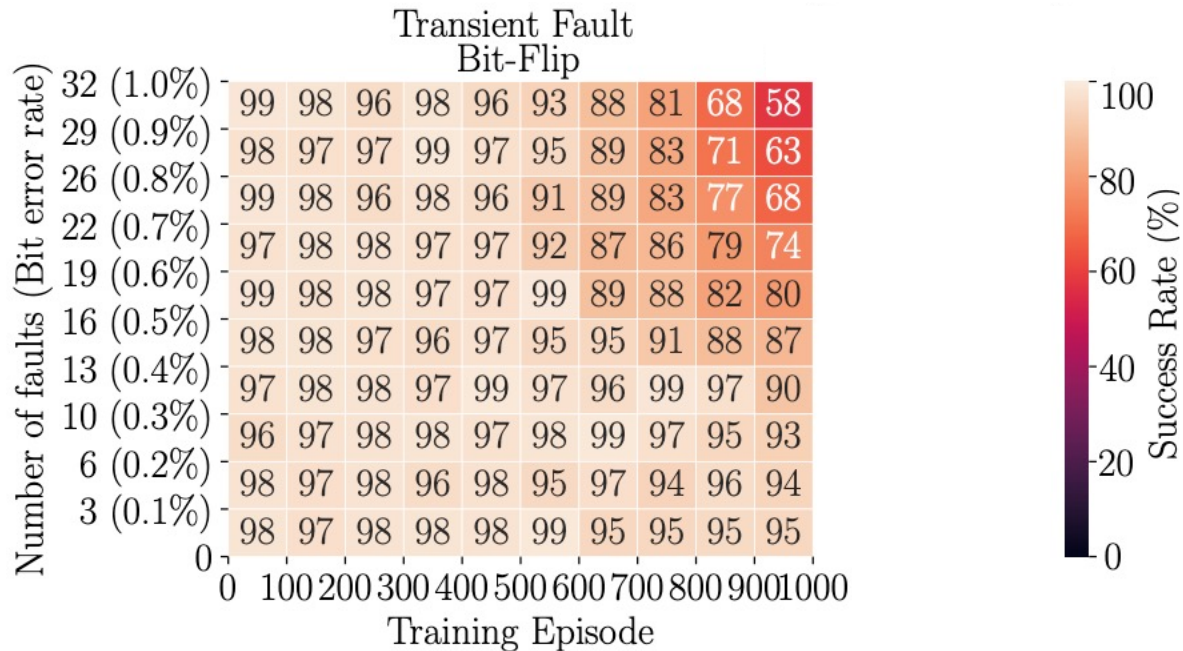


- Algorithm paradigm: NN-based method, Tabular-based method
- Evaluation metric: agent's success rate



Faults in Grid World (Training)

NN-based method: (The darker, the worse)

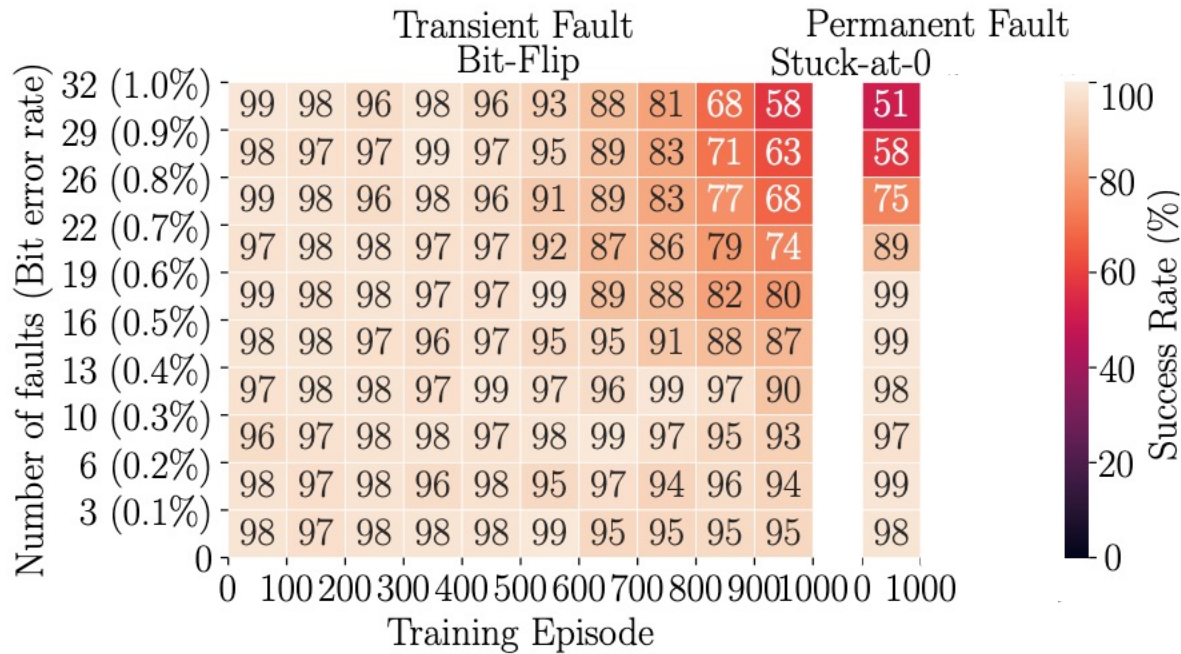


➤ Transient fault occurred in later episodes with high BER has higher impact.



Faults in Grid World (Training)

NN-based method: (The darker, the worse)

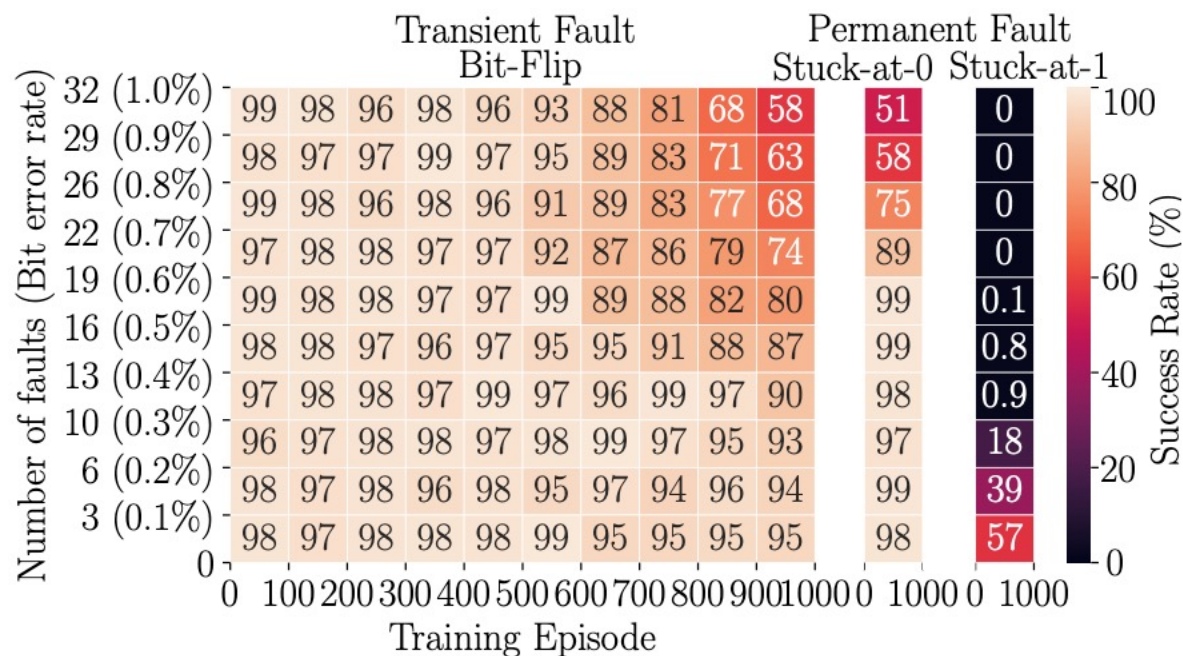


➤ Permanent fault stuck-at-0 has comparable impact as transient fault.

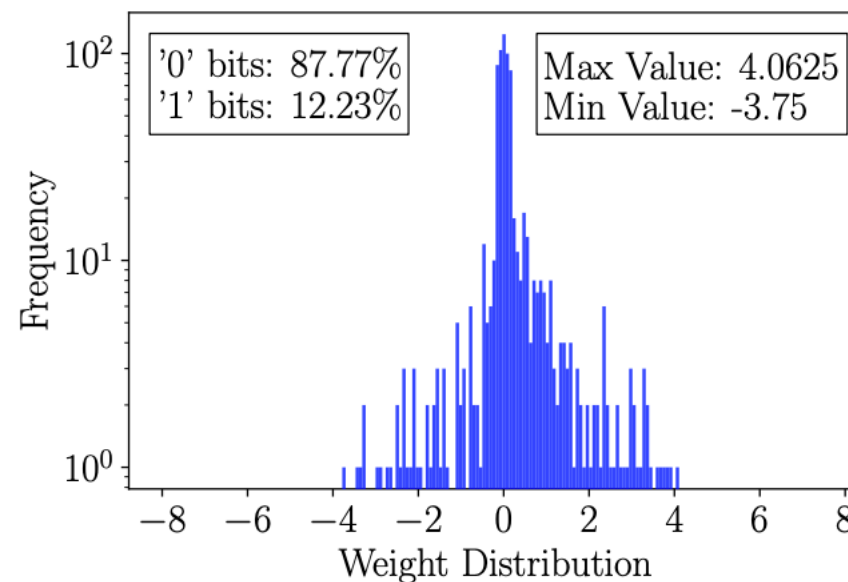


Faults in Grid World (Training)

NN-based method: (The darker, the worse)



NN-based policy weight distribution:

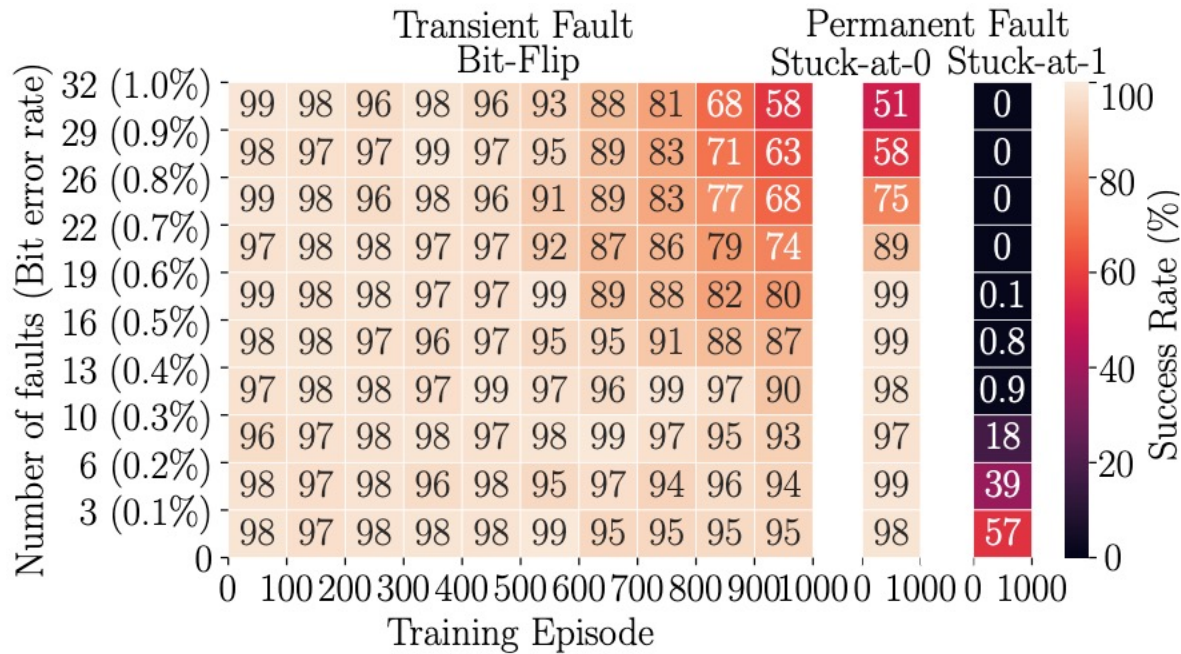


➤ Permanent fault stuck-at-1 has much severer impact than stuck-at-0.

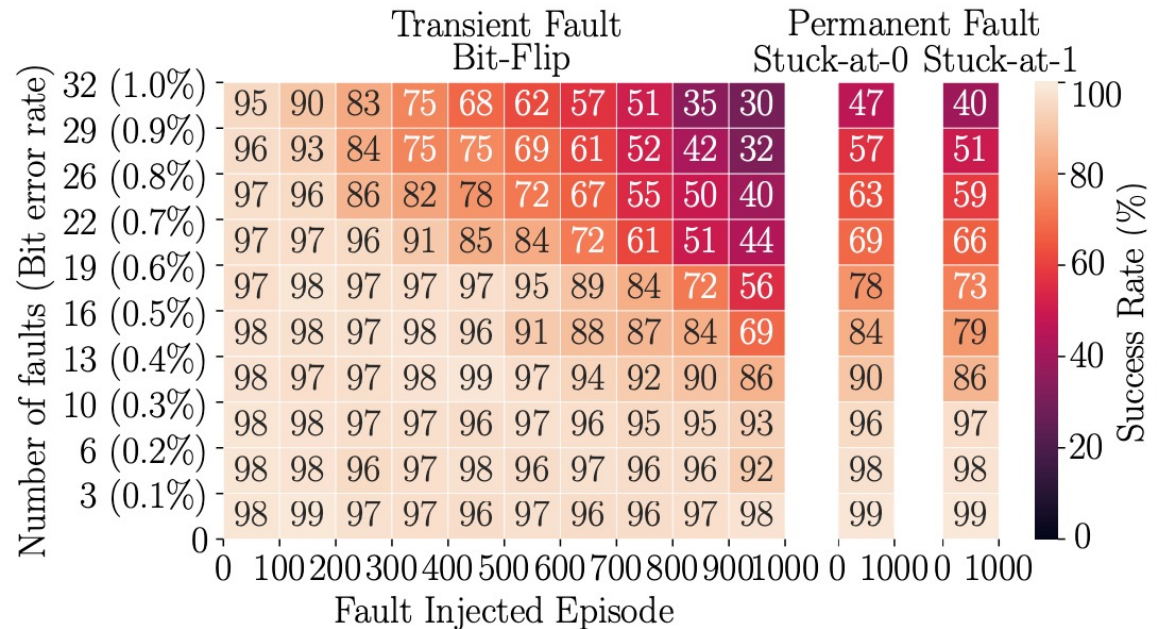


Faults in Grid World (Training)

NN-based method: (The darker, the worse)



Tabular-based method:



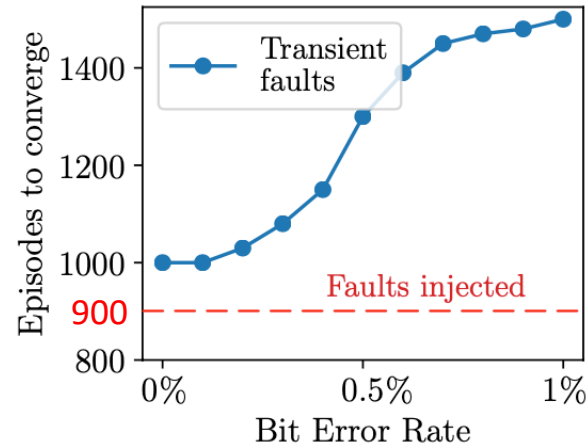
➤ NN-based policy exhibit higher resilience than Tabular-based policy (except stuck-at-1).



Faults in Grid World (Convergence)

NN-based method

Transient
fault



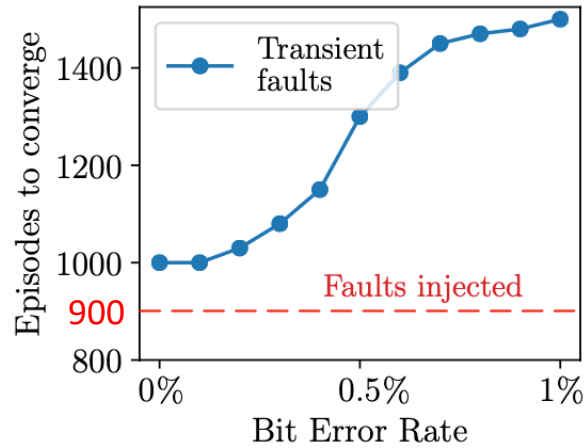
- System can finally achieve convergence (>95% success rate) after transient faults injected.



Faults in Grid World (Convergence)

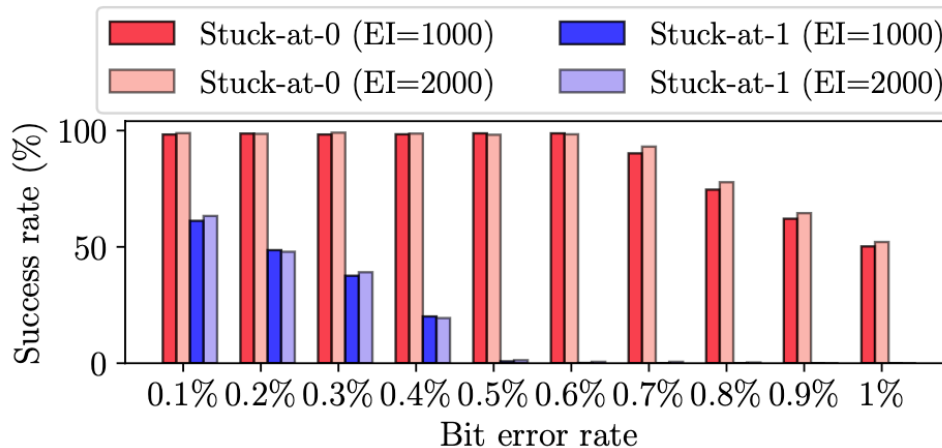
NN-based method

Transient fault



- System can finally achieve convergence (>95% success rate) after transient faults injected.

Permanent fault



- Extra training time doesn't bring obvious improvements under permanent faults.

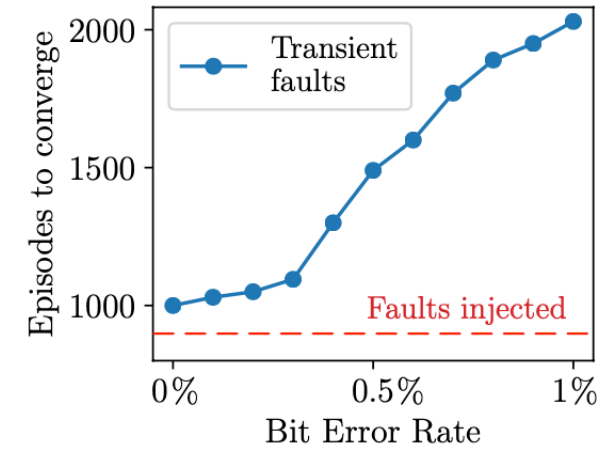
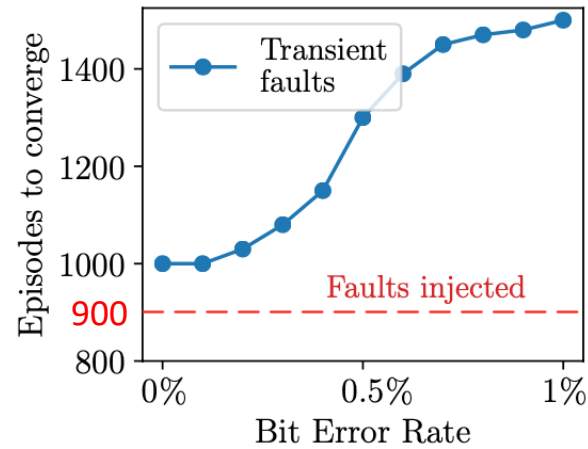


Faults in Grid World (Convergence)

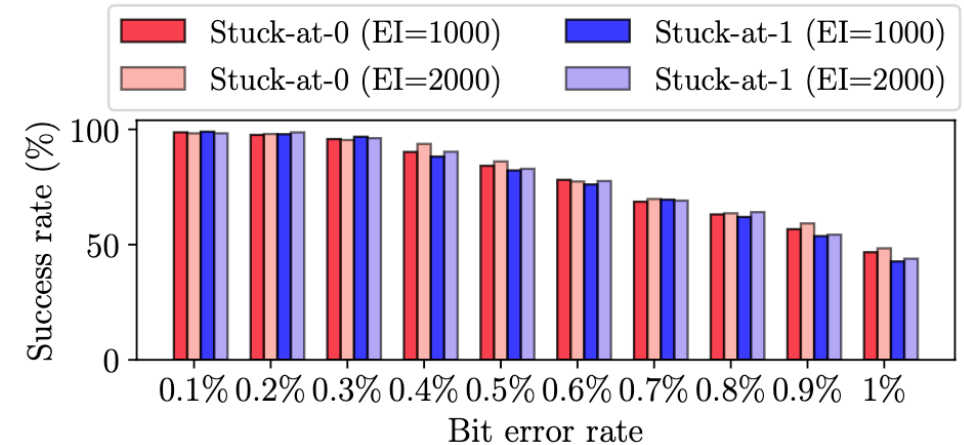
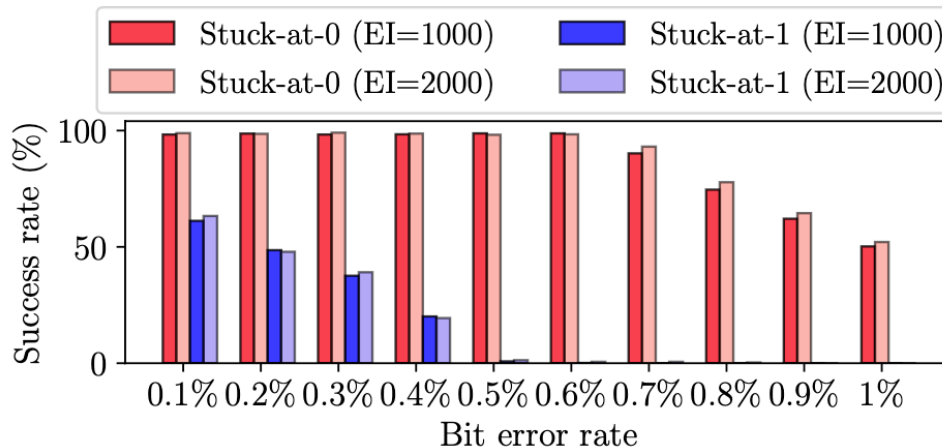
NN-based method

Tabular-based method

Transient fault



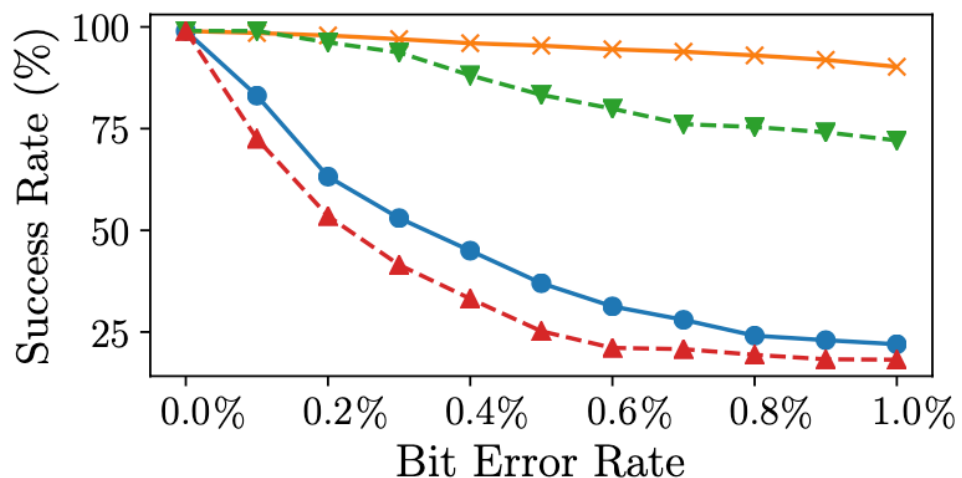
Permanent fault





Faults in Grid World (Inference)

NN-based method:



Inference: Long-term decision-making process

Transient-M: impact all steps

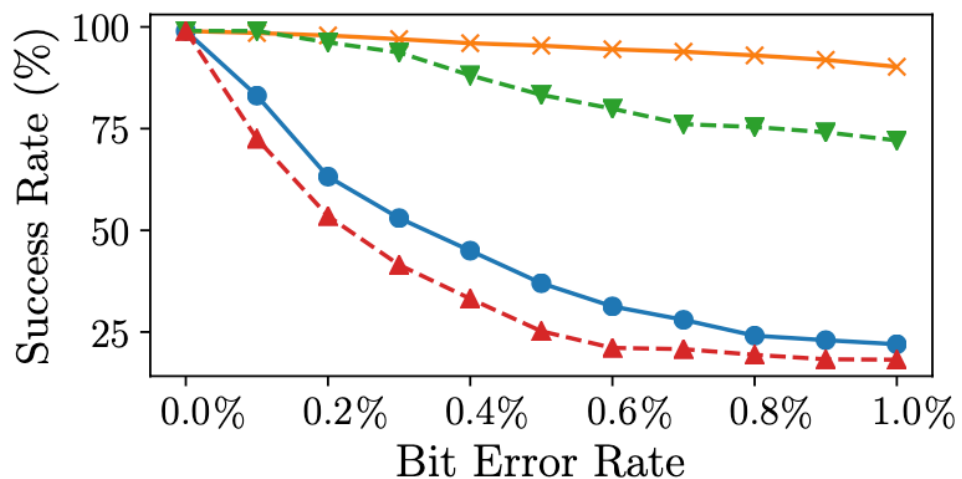
Transient-1: impact single step

- Transient fault: Transient-1 has a negligible effect compared to Transient-M.
- Permanent fault: Stuck-at-1 has a much severe impact on policy than Stuck-at-0

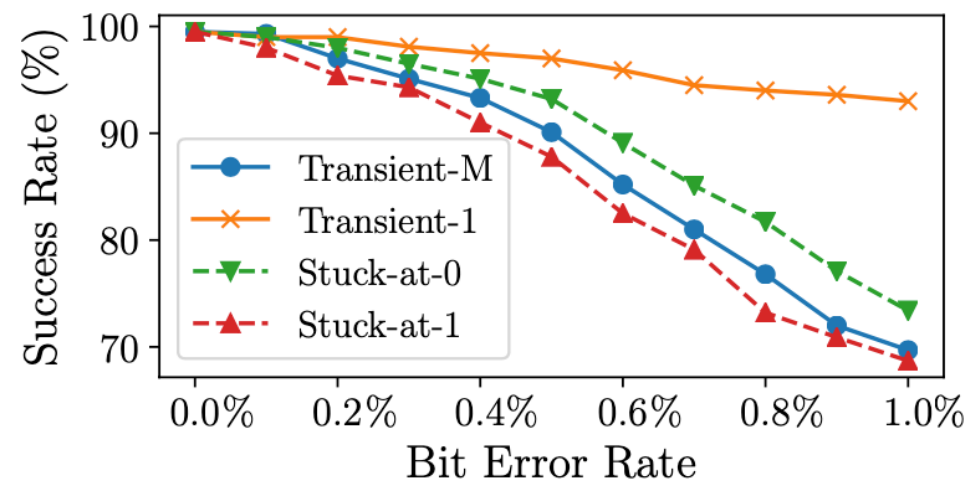


Faults in Grid World (Inference)

NN-based method:



Tabular-based method:



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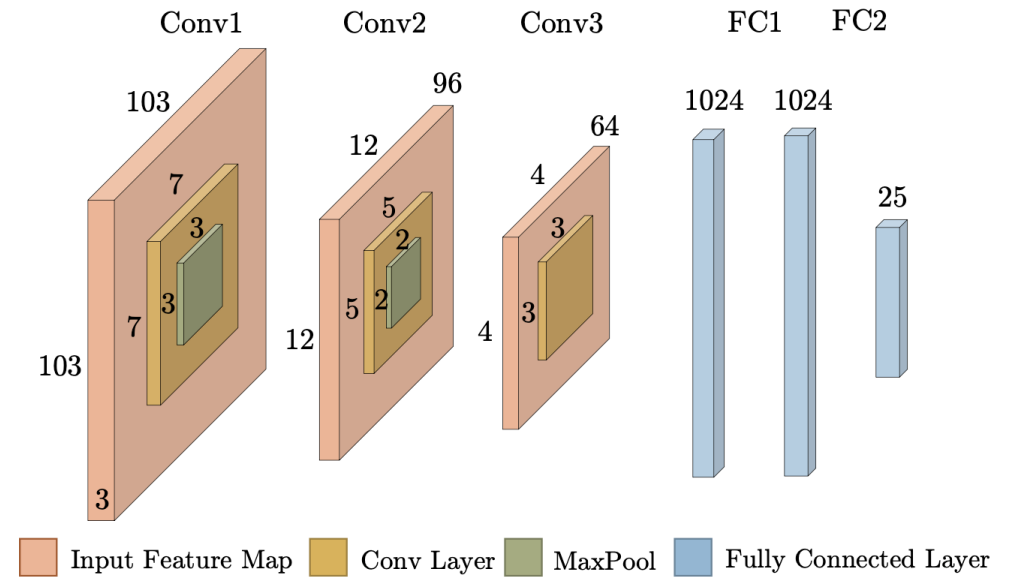
Drone Autonomous Navigation Problem

Environments and demos:



(PEDRA: Powered by Unreal Engine and AirSim)

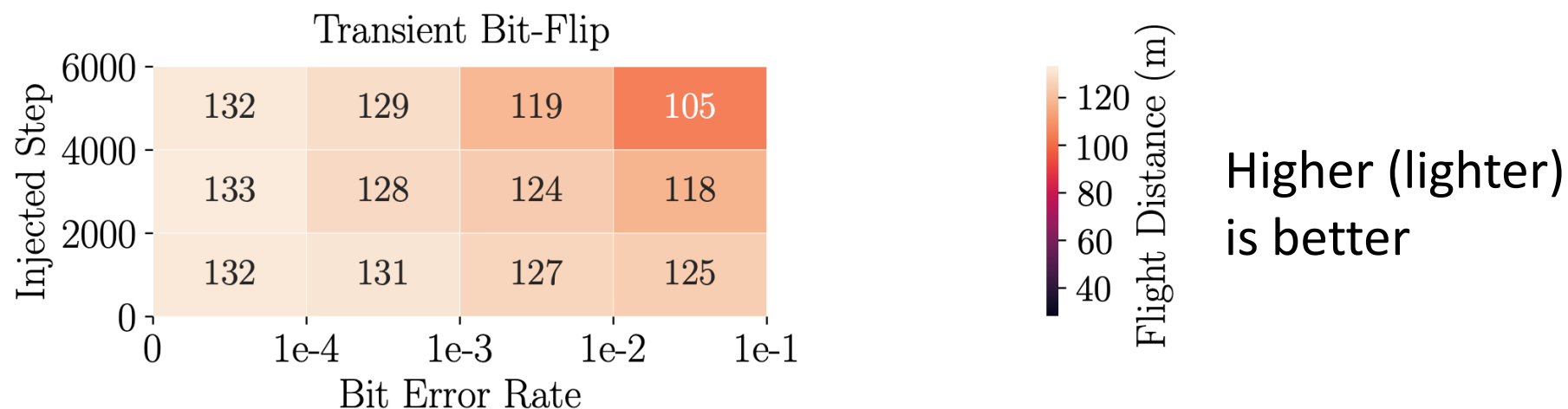
Policy architecture:



➤ Evaluation metric: drone safe flight distance (the longer, the better).



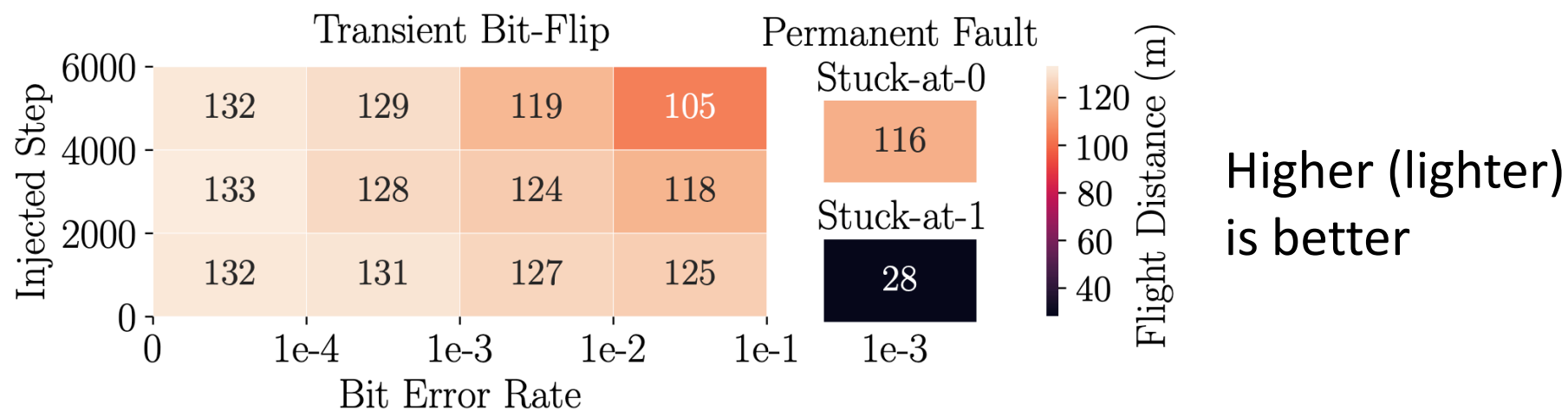
Faults in Drone Navigation (Training)



- Training method: offline training -> online fine-tuning using transfer learning
- Transient fault: occurred at latter episodes with higher BER impact flight quality more.



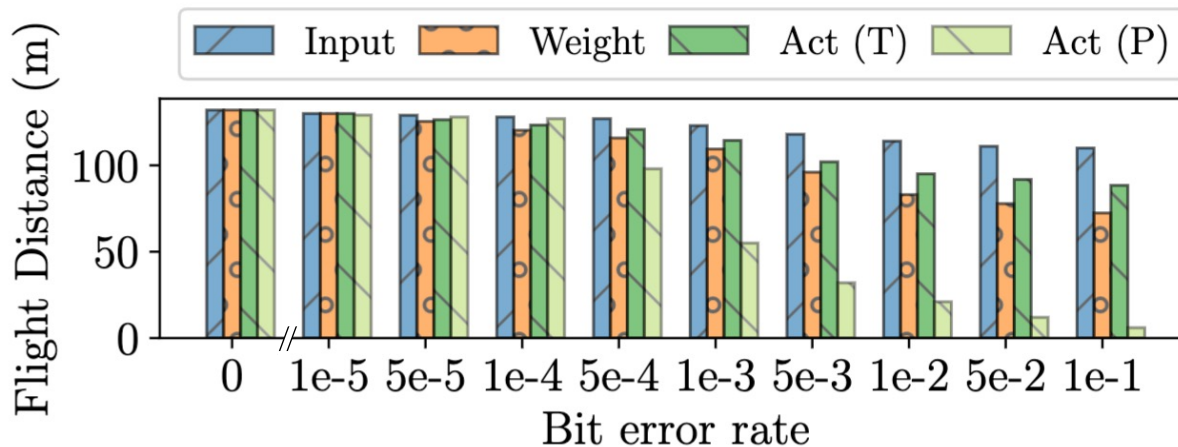
Faults in Drone Navigation (Training)



- Training method: offline training -> online fine-tuning using transfer learning
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Faults in Drone Navigation (Inference)



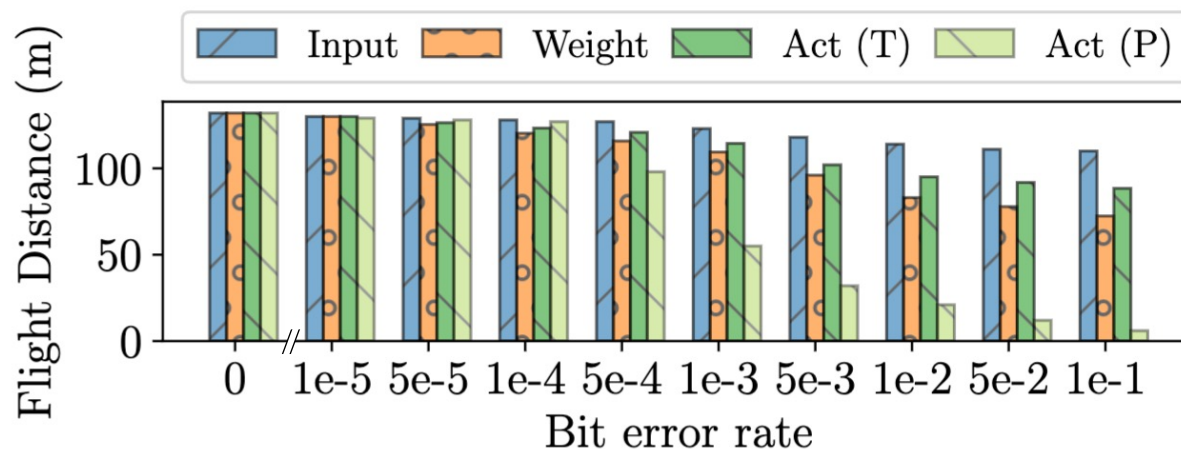
➤ Weights are sensitive to transient faults while input buffer is resilient.

Different data locations:
(the higher, the better)



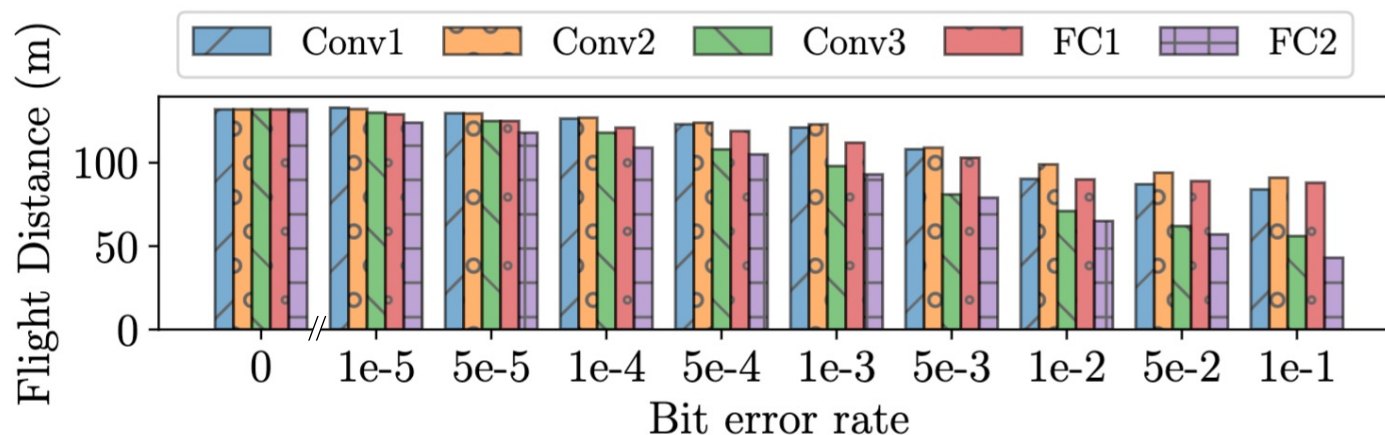
Faults in Drone Navigation (Inference)

Different data locations:
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➤ Weights are sensitive to transient faults while input buffer is resilient.

Different NN layers:
(the higher, the better)

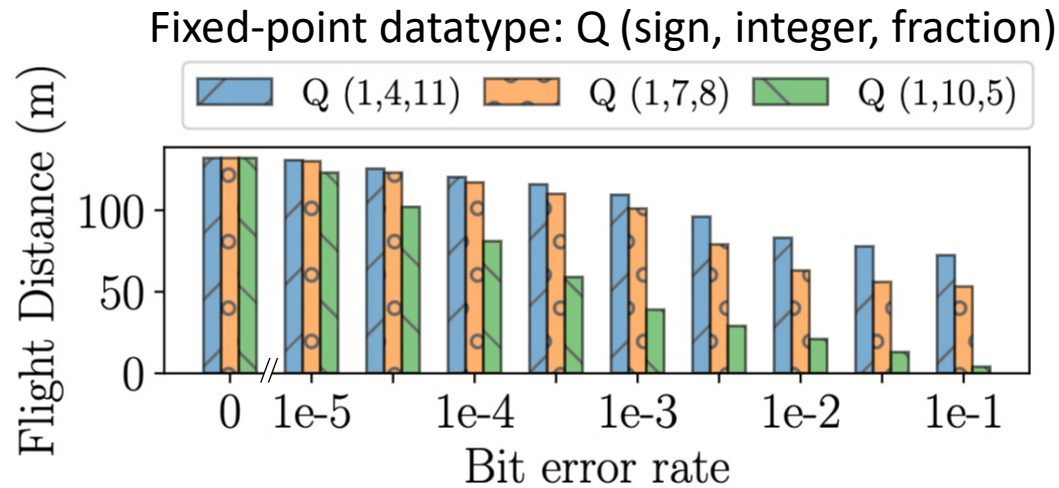


➤ Conv3: no followed pooling layer
➤ FC2: directly dictates the drone actions



Faults in Drone Navigation (Inference)

Different data types:
(the higher, the better)



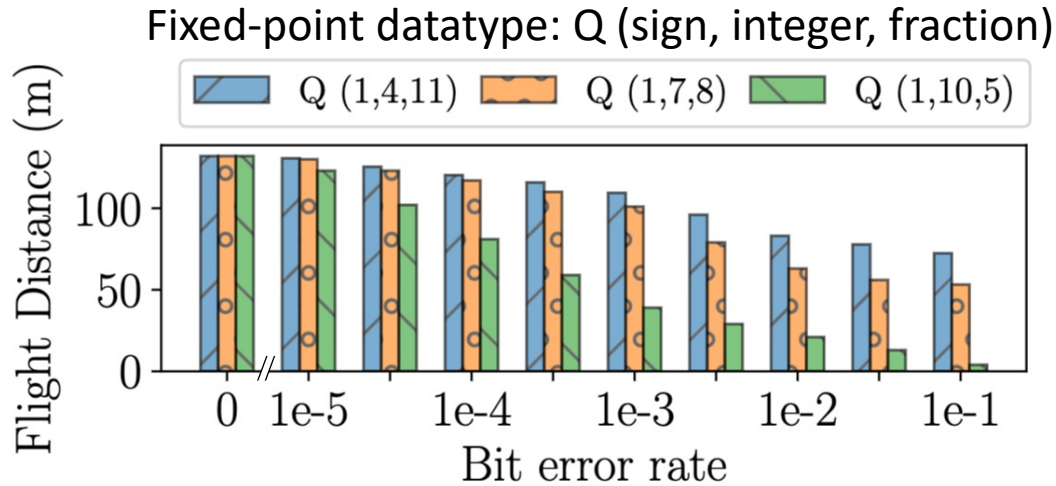
➤ Data types should optimally capture the value range rather than pursuing an unnecessarily large range



Faults in Drone Navigation (Inference)

Different data types:

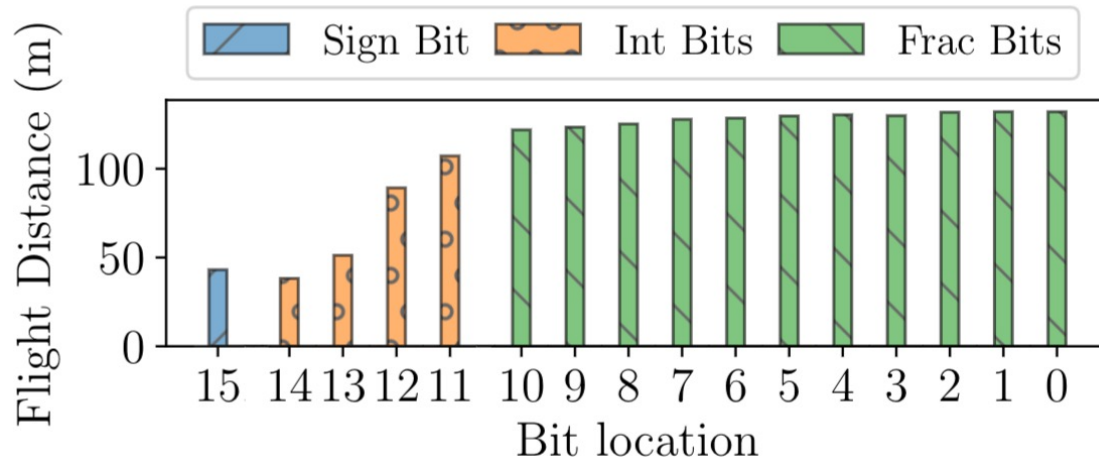
(the higher, the better)



➤ Data types should optimally capture the value range rather than pursuing an unnecessarily large range

Different bit locations in Q (1,4,11):

(the higher, the better)



➤ Only sign and high-order integer bits are vulnerable



This work

Analyzing and Improving fault tolerance of learning-based navigation systems, that is:



A fault injection tool-chain for learning-based systems



Hardware fault study in learning-based systems



Fault mitigation techniques for learning-based systems



Training: Adaptive Exploration Rate Adjustment

- Detection: change in cumulative reward
- Recovery: dynamically adjust exploration-to-exploitation ratio and speed



Training: Adaptive Exploration Rate Adjustment

- Detection: change in cumulative reward
- Recovery: dynamically adjust exploration-to-exploitation ratio and speed

Detection

Transient
fault

Reward drop exceeds
 $x\%$ within y continuous
episodes

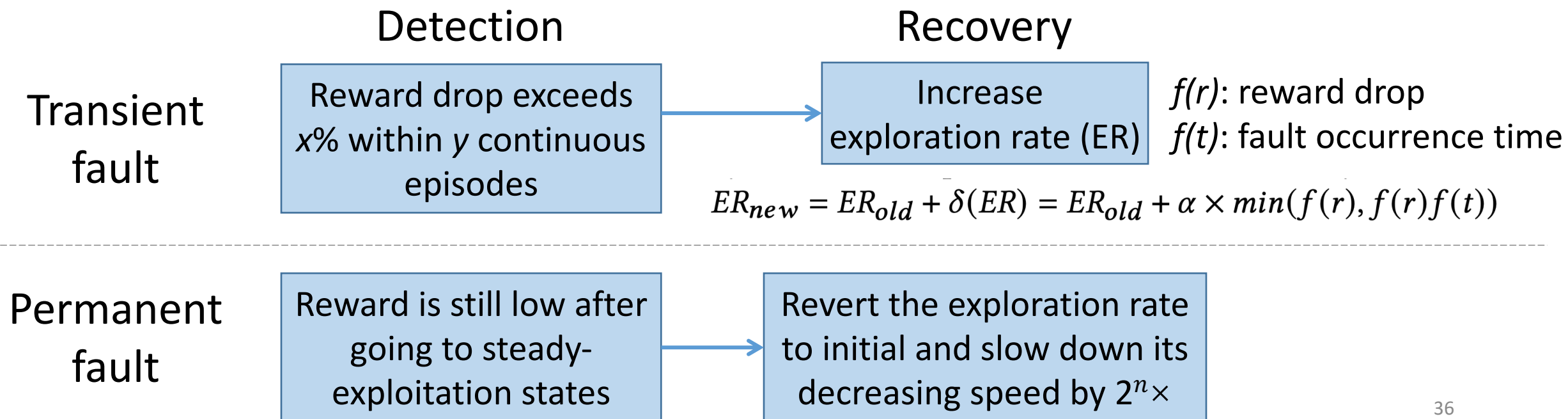
Permanent
fault

Reward is still low after
going to steady-
exploitation states



Training: Adaptive Exploration Rate Adjustment

- Detection: change in cumulative reward
- Recovery: dynamically adjust exploration-to-exploitation ratio and speed

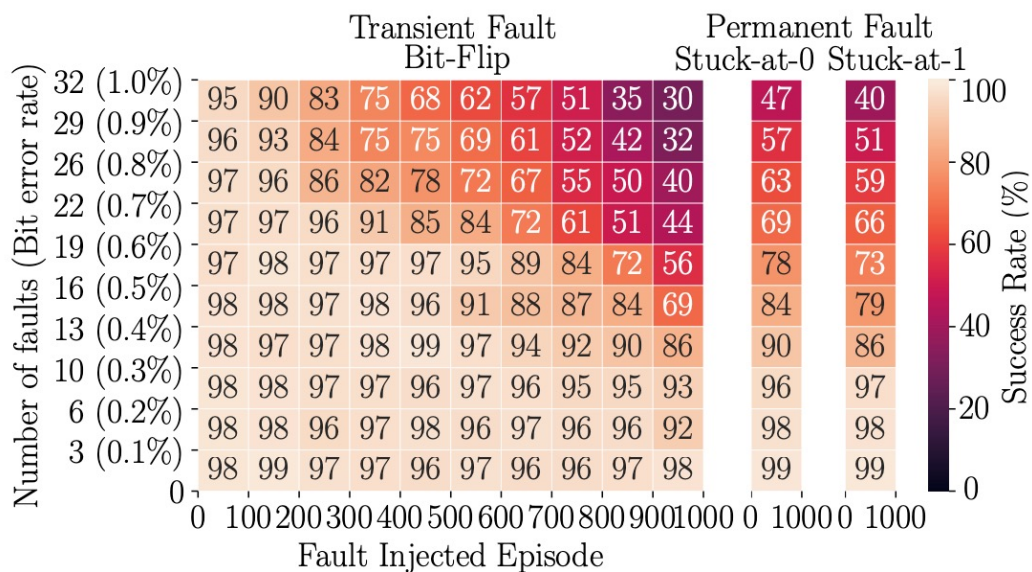




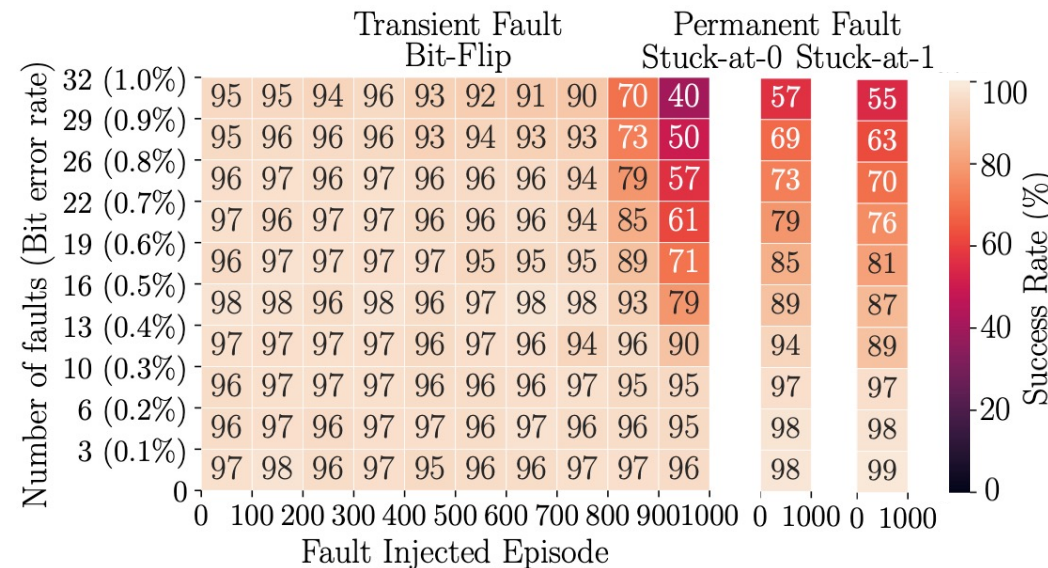
Training: Adaptive Exploration Rate Adjustment

- Evaluation:

Before fault mitigation:



After fault mitigation:



➤ The impact of both transient fault and permanent fault during training can be relieved.



Inference: Value Range-Based Anomaly Detection

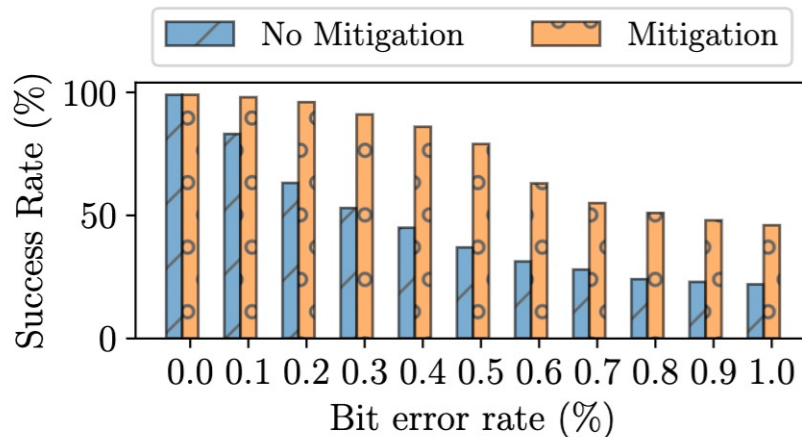
- Detection: statistically anomaly detection, $(a_i, b_i) \rightarrow (1.1a_i, 1.1b_i)$
- Recovery: skip faulty operations



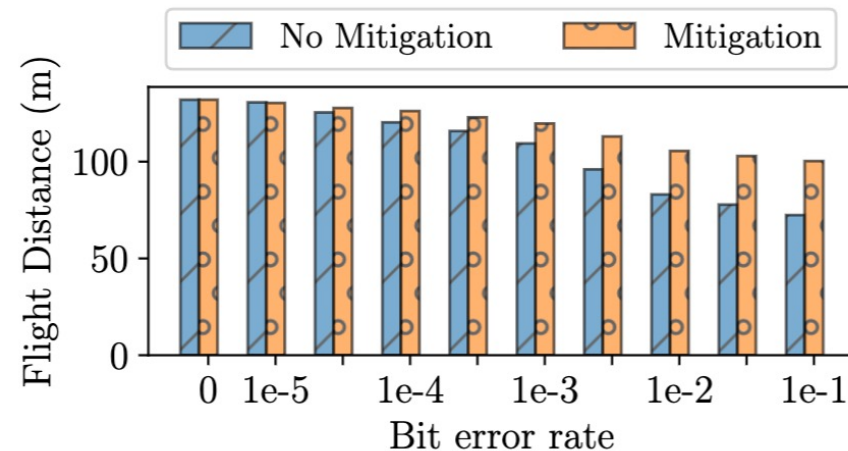
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- Evaluation:

Grid World navigation



Drone autonomous navigation

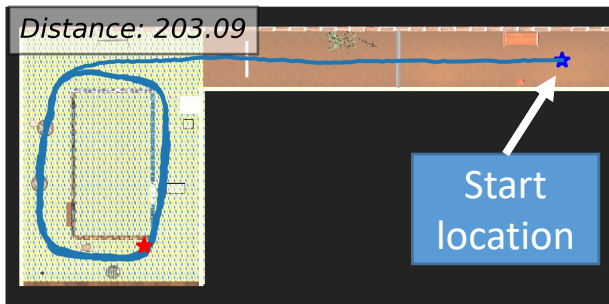


- Grid World: agent's success rate increase by 2x
- Drone autonomous navigation: safe flight distance increases by 39%



Drone Flight Trajectory Demo

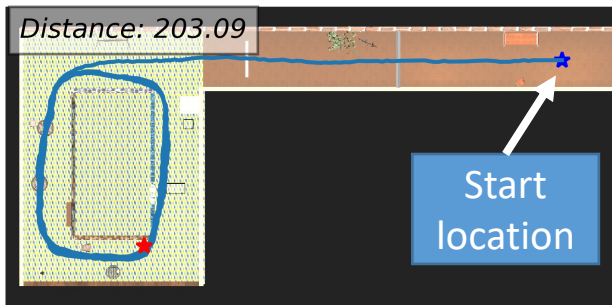
No fault:



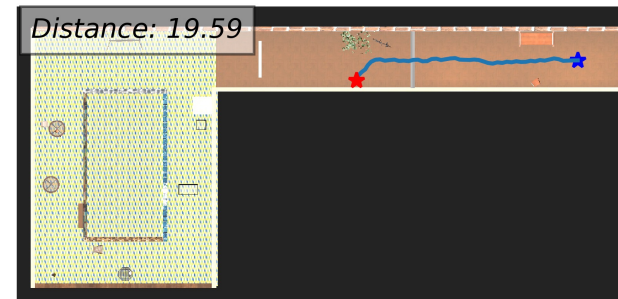
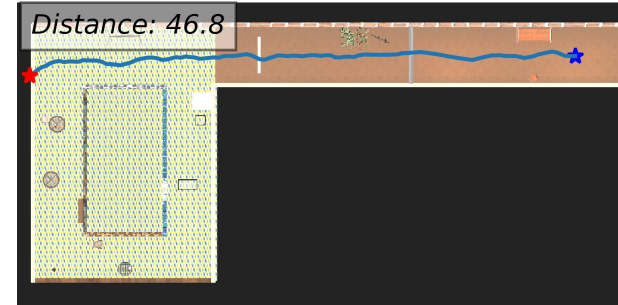
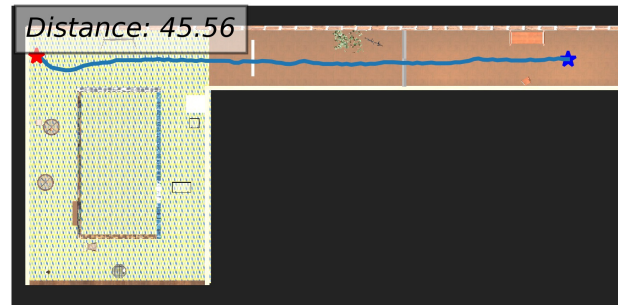


Drone Flight Trajectory Demo

No fault:



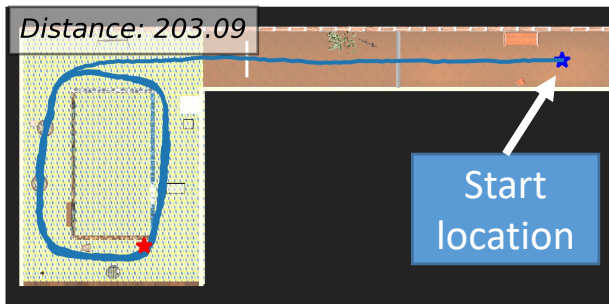
Fault injected:



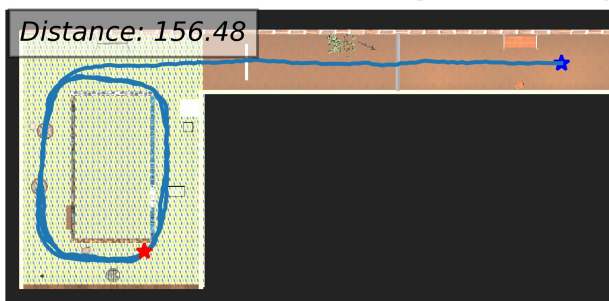


Drone Flight Trajectory Demo

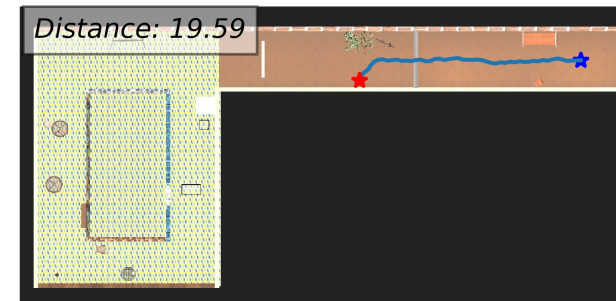
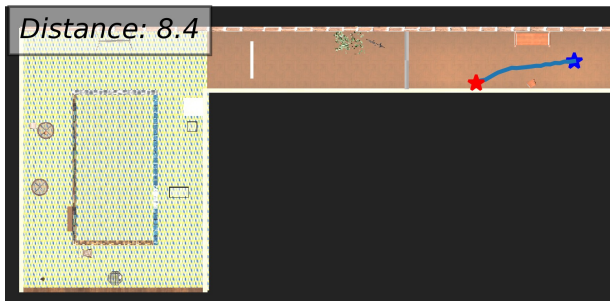
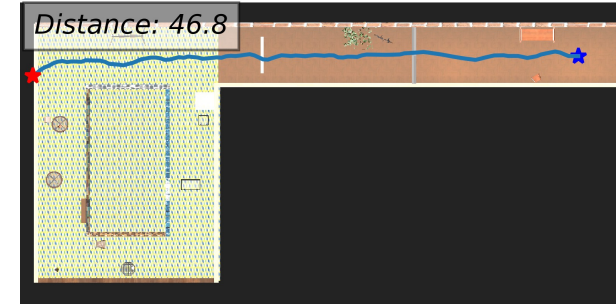
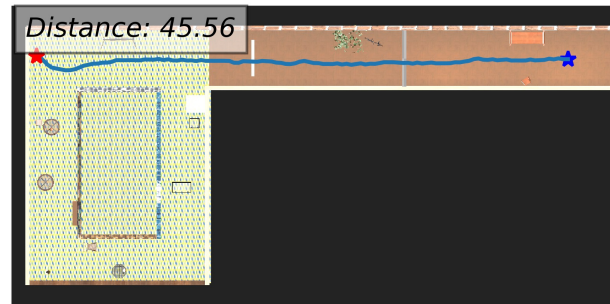
No fault:



Fault mitigated:



Fault injected:





In this talk, “Analyzing and Improving Fault Tolerance of Learning-Based Navigation System”



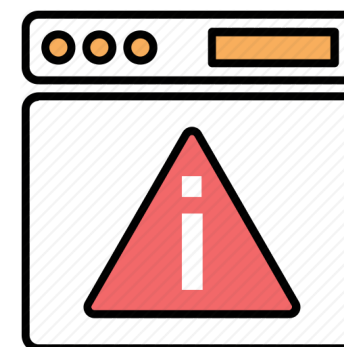
The **safety and reliability** of end-to-end **learning-based navigation systems** is important, but not well understood



A **fault injection tool-chain** that emulates hardware faults and enables rapid fault analysis of learning-based navigation systems



Large-scale **fault injection study** in both training and inference stages of learning-based systems against permanent and transient faults



Low-overhead **fault detection and recovery techniques** for both training and inference



Thank you
Any Question?

Email: zishenwan@gatech.edu